

# NAVIGATE THE COMPLEXITIES OF UNCLAIMED PROPERTY

Unclaimed Property Consulting Services

CERTAINTY | INGENUITY | ADVANTAGE

Georgeson

# We'll tailor a solution to meet your needs – so you can focus on your core business.

Has your company never reported unclaimed property or have you been reporting for years? Are you under audit or wondering about whether to enter into a Voluntary Disclosure Agreement (VDA)? Do you need a compliance check-up or a complete overhaul? Do you prefer to keep escheatment in-house or outsource it?

Regardless of what your needs are, we are here to support you and take the worry out of unclaimed property compliance. As your partner, we tailor our solutions to meet your needs – so you can focus on your core business.



## Unclaimed Property Policies and Procedures

- › Review existing policies and procedures and provide suggestions for improvements/best practices
- › Assist with the development and implementation of new policies and procedures



## Unclaimed Property Compliance CheckUp

- › Identify areas of risk
- › Confirm procedures are working effectively
- › Identify potential improvements



## Comprehensive Compliance Review

- › Identify areas of noncompliance
- › Quantify potential unreported unclaimed property liability
- › Calculate potential interest and/or penalties for past due property
- › Develop strategies for getting into compliance with each jurisdiction





## Remediation

- › Identification of potential exemptions, deductions, and exclusions
- › Owner outreach
- › State required due diligence



## Compliance/Voluntary Disclosure Agreements (VDAs)

- › Assistance with state communications, as appropriate
- › Preparation of formal and informal VDA paperwork
- › Preparation of state unclaimed property reports in the state required formats



## Audit Assistance

- › Assistance with audit communications, including communications directly with the states when appropriate
- › Participation in the audit scoping process
- › Collaboration with legal counsel (internal and/or external)
- › Review of audit requests to ensure they are relevant and necessary
- › Identification of strategies that are proactive rather than reactive
- › Redaction of confidential client/owner data when appropriate
- › Facilitation of the secure transfer of data to and from the auditors



## Annual Reporting

- › Perform analysis to determine property due to be reported
- › Conduct state required due diligence
- › Preparation of state unclaimed property reports in the state required formats



## Merger and Acquisition Risk and Exposure

- › Review organizational structure for unclaimed property implications
- › Identify and document historical audits, VDAs, and unclaimed property reporting history
- › Review third party (TPA) contracts for reporting implications
- › Identify potential unclaimed property noncompliance issues
- › Quantify the unreported unclaimed property liability and potential interest and penalties associated with the past due portion of the liability



## Training

- › In-house or online unclaimed property training for employees



For more information about Georgeson's unclaimed property consulting services, contact us today.

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**ABOUT GEORGESON**

For over half a century, Georgeson has been the world's leading provider of unclaimed asset, strategic proxy, and corporate governance advisory services. Our unclaimed asset services cover the unclaimed property lifecycle, relieving organizations of these complex and resource intensive tasks.

Our solutions include unclaimed property analysis and consulting services, annual unclaimed property reporting, owner location services, shareholder and PostMerger CleanUp™ services. Our clients choose us because of our high quality service to both the company and individual owners. Georgeson's multidisciplinary team of professionals has reunited more than one million owners with more than \$3 billion in assets.

Georgeson is a Computershare company. For more information, visit [www.georgeson.com](http://www.georgeson.com).